

**FEDERAL BUREAU OF INVESTIGATION**  
**FREEDOM OF INFORMATION/PRIVACY ACTS SECTION**  
**COVER SHEET**

**SUBJECT: Max Horkheimer**



RECORDED EWT:NTP  
61-7421 -2

August 13, 1940

Limited Classification  
Review Conducted  
See J. Serial

b7c  
b7D

[Redacted]

Police Department  
New York, New York

Dear Mr. [Redacted]

I wish to acknowledge receipt of your letter dated July 31, 1940 and the copy of your report furnished to Chief of Police, Provincetown, Massachusetts, which you enclosed.

I wish to express my appreciation for your cooperation in making this information available to the Federal Bureau of Investigation and you may be assured that the information contained therein will be given appropriate attention.

Sincerely yours,

John Edgar Hoover  
Director

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/12/99 BY [Signature]  
444078

cc New York - with copies of incoming

- Mr. Tolson \_\_\_\_\_
- Mr. Clegg \_\_\_\_\_
- Mr. Foxworth \_\_\_\_\_
- Mr. Ladd \_\_\_\_\_
- Mr. Nathan \_\_\_\_\_
- Mr. E. A. Tamm \_\_\_\_\_
- Mr. Egan \_\_\_\_\_
- Mr. Glavin \_\_\_\_\_
- Mr. Nichols \_\_\_\_\_
- Mr. Rosen \_\_\_\_\_
- Mr. Tracy \_\_\_\_\_
- Miss Gandy \_\_\_\_\_

COMMUNICATIONS SECTION  
MAILED  
★ AUG 13 1940 ★  
P. M.  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

RECEIVED - DIRECTOR'S OFFICE  
AUG 13 5 00 PM '40  
[Handwritten signatures and initials]

REPLY TO  
CORRESPONDENCE BUREAU  
SPRING 7-3100  
CANAL 6-2000



67-0

Refer to:

**POLICE DEPARTMENT  
CITY OF NEW YORK**

CAB-206  
FJT

July 31, 1940.

J. E. Hoover, Esq.,  
Director,  
Bureau of Investigation,  
U. S. Department of Justice,  
Washington, D. C.

ALL FBI INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 1/11/79 BY 60262/els/ck/br  
440178

Dear Sir:

The following is a copy of teletype message received by this department from the Police of Provincetown, Mass., which is self explanatory:

"July 29, 1940. Yuth PD Provincetown, Mass. 4013 F 15 (Confidential check) Please check on the activities of a Dr. Henry R. Grossman supposed to be a professor at the International Research Institute, 429 W. 117th Street, New York City. This man has been on Cape Cod for the past few days and has all kinds of data regarding the location of harbors, etc. Most of the data has to do with Provincetown. It is believed that part of his identification is phoney and he is being checked with Fifth Column activities. Advise as soon as possible as it is known that he will not be around very long."

Enclosed is a copy of our investigation.

Yours very truly,

[Redacted signature]

*to f*  
*I ENCL. F.M.*  
*w a*  
*ny*  
*b7c*  
*b7D*

RECORDED  
&  
INDEXED.

61-7421  
FEDERAL BUREAU OF INV  
4 AUG 2 1940  
U.S. DEPARTMENT OF JUSTICE  
FIVE 99

AUG 10 RECD  
*ant*

RECEIVED  
DIVISION



Refer to:

**POLICE DEPARTMENT**  
**CITY OF NEW YORK**

CAB-206  
FJT

July 31, 1940.

Chief of Police,  
Provincetown,  
Mass.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 11/12/99 BY 12027/ksk/dm  
44-178

Dear Sir:

In reply to your teletype request of July 29th to investigate one DR. HENRY R. GROSSMAN, the officer assigned reports as follows:

The International Institute of Social Research, 429 West 117th Street, New York City (within campus of Columbia University) was originally established in 1923 through several endowments, the greatest coming from Dr. Hermann Weil of the University of Frankfurt. The institute was established in Frankfurt, Germany and remained here until 1933, when it was seized by the Nazi German Government, who closed its main school and library because of tendencies hostile to the new German Government. The school teaches a regular course in the Columbia University text in social sciences, philosophy, psychology, economics, sociology and law research.

After the school was closed in Germany and it attempted to reopen in other cities in Europe, such as Paris, Geneva, it accepted an invitation in 1934 from President Nicholas Murray Butler of Columbia University, to join its faculty and maintain a separate and independent school known as the Institute of Social Research. This school is maintained by endowed funds which are handled by the Social Studies Association, Inc., 429 West 117th Street, New York City, a building within the campus of Columbia University, and loaned to the institute by the university. The staff and faculty of this institute consists of the following:

Max Horkheimer, Director, 34 Ridge Croft Rd., Bronx  
Frederick Pollock, Asst. Director, (same address)  
Theodore W. Adorno, Professor - 290 Riverside Dr. 1  
Walter Benjamin, " Now in France.  
Julian Dumperz, " 50 Central Park West  
Leo Lowenthal, " 765 Riverside Dr. 1

11-121-2

~~Herbert Marcuse, Prof. - 455 Riverside Dr. NYC.~~  
~~Franz Neumann, " 420 West 118th St., NYC.~~  
~~Karl A. Wittfogel, " 420 Riverside Drive, NYC.~~  
(now vacationing at  
Hartman House, Miller  
Hill Road, Provincetown,  
Mass.)  
Henryk Grossman, " 520 W. 111th St., NYC.  
(Now vacationing at Hiannis  
Cape Cod, Mass.)

All of the above named are German Jewish Refugees having been members of the faculty of the school in Germany, prior to 1933. Most of them have become American citizens or signified their intention.

Dr. Henryk Grossman is also a German Jewish refugee, now vacationing at Hiannis, Cape Cod, Mass., and is expected to remain there until the end of August. He teaches economics and economic history and was an authority on economics and social science in Poland before the World War in 1914. Karl A. Wittfogel, mentioned above is also vacationing at the Hartman House, Miller Hill Road, Provincetown, Mass.

No explanation can be given for the possession by Grossman of so called valuable information regarding harbors and other facts about Provincetown and it is suggested that he be watched closely as our investigation in no way eliminates him as a suspect in Fifth Column activities for Germany.

Yours very truly,

Chief Inspector.

~~Classified Classification~~  
~~Review Conducted~~  
~~See Top Serial~~  
~~Form 1~~  
Oct. 15, 1940

File No. 65-1161

MEMORANDUM FOR THE SPECIAL AGENT IN CHARGE

Re: HENRYK GROSSMAN;  
INTERNATIONAL RESEARCH INSTITUTE

In accordance with your instructions the writer met the S.S. Steel Pier of the Cape Cod Steamship Company when the ship docked at Posters Wharf, Atlantic Avenue, Boston, at 8:10 P.M. July 29, 1940.

I met Special Officer [redacted] of the above firm who turned out to me an envelope from Chief of Police Anthony P. Taveris of Provincetown, Massachusetts, the contents of which are attached hereto. This officer advised he had no personal information re Subject and that he appeared to be travelling alone. However, when Subject got off the boat he was accompanied by two young women. They each had a piece of luggage. They took a cab to the South Station and after making inquiries at the information desk regarding the train schedule to New York City for the same evening, they checked their luggage at the office nearest Atlantic Avenue.

The writer made inquiries at the luggage station and ascertained that GROSSMAN had two suitcases and a bundle of books, popular literature, and that he had a train ticket for Gloucester where he intended to go the same night.

The girls checked their luggage through to the Pennsylvania Station, New York City and gave as their destination "Ruth Baker, Center Court Apartments, Center Avenue, Pittsburgh, Pennsylvania". The girls had tickets for Pittsburgh, Pa. and were leaving on the 9:40 P.M. D.S.T. train. They appeared to be merely shipboard acquaintances.

The writer examined the outside of Subject's luggage and observed a tag attached thereto "Henryk Grossman, Professor An Der Universitat". There were other labels on his luggage - "Sevilla Biltmore, Havana, Cuba" - "Hotel Packard, Havana, Cuba".

All three individuals roamed around the South Station waiting for their trains. The writer made arrangements with the baggage clerk whereby he would secure, through a lost luggage check pretext, Grossman's address in Gloucester, Mass. or other address.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 11/25/85 BY SP-6/BJK/STW/ab

Ported  
Classified  
10-17-60  
per Doc  
g

65-1161-10  
OCT 15 1940  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE  
BOSTON FIELD OFFICE  
ROUTED TO [redacted]

gms

FILE

GROSSMAN is described as follows:

Age	45-50 years
Height	5'4"
Hair	Sandy, close cropped, curly
Build	Medium
Weight	145 lbs.
Complexion	Well tanned
Eyes	Blue
Features	Typically Jewish or Dutch
Dress	Grey tweed suit

Girl No. 1 -

Age	23 years
Height	5'6"
Weight	118 lbs.
Hair	Dark
Complexion	Tanned
Build	Slender
Dress	Wore a snood, blue dress and blue jacket

Girl No. 2 -

Age	22 years
Height	5'3"
Weight	105 lbs.
Build	Slim
Complexion	Tanned
Hair	Dark
Dress	Blue

Nothing in our files on either person or Institute. Institute not in City or Telephone Directories.

Respectfully submitted,

  
Special Agent.

LGH:LAG

b7c

PENALTY FOR PRIVATE USE TO AVOID  
PAYMENT OF POSTAGE, \$500

~~Limited Classification  
Review Conducted  
Serial  
772~~

ENCLOSURE TO THE BUREAU  
RE HENRYK GROSSMAN; INTERNAL  
SECURITY. BOSTON #65-1161

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES  
DEPARTMENT OF JUSTICE  
OFFICIAL BUSINESS

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/12/99 BY 60267/SP/CLD/K  
440178

Los Angeles, California  
October 1, 1940

Limited Classification  
Review Conducted  
See T-1 Serial

Special Agent in Charge  
New York, New York

RE: DR. W. HORHEIMER,  
alias ALRIGHT.  
INTERNAL SECURITY.

Dear Sir:

*b7D*  
[redacted] night clerk, Montecito Apartments,  
Hollywood, California, called at this office on August 22, 1940,  
and furnished a telegram which the above party gave [redacted] to  
send on August 21, 1940, to FREDERICK POLLOCK, c/o FREDERICK  
WATHEMS, Haines Falls, New York. This telegram is quoted below:

AGREE ANALYSIS BUT SECTION TWO SHOULD BE  
DEVOTED TO INTERESTING PROBLEMS SUCH AS NIETZSCHE  
DEMOCRATIC PRESS EXPRESSIONISM RATHER THAN TO  
CONVENTIONAL HISTORIOGRAPHY PROBLEMS SHOULD ENLIGHTEN  
AMERICAN PUBLIC AND ILLUSTRATE CERTAIN POINTS OF  
ANALYSIS MY LETTER EIGHTEENTH COMPLETED BY LETTERS  
NINETEENTH TWENTIETH PLEASE SEND THREE MORE ENGLISH  
ISSUES AIRMAIL

ALRIGHT

*b7D*  
[redacted] advised that HORHEIMER has been at the  
apartments a couple of weeks and signs some telegrams "ALRIGHT"  
and others by his right name.

No investigation of this matter is contemplated  
by this office, and the above is being furnished for your infor-  
mation.

Very truly yours,

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/2/75 BY [redacted]

RECORDED & INDEXED

ARTHUR CORNELIUS, JR.  
Special Agent in Charge

61-7421-  
Bureau of Investigation  
OCT 4 1940

JER:hlk  
3 cc - Bureau

Federal Bureau of Investigation  
United States Department of Justice

Post Office Box #2344  
Boston, Massachusetts

~~Classification  
Review Conducted  
Serial  
4774~~

*dm  
X*

October 21, 1940

Director  
Federal Bureau of Investigation  
Washington, D. C.

Re: HENRYK GROSSMAN;  
Internal Security

Dear Sir:

I am transmitting herewith one copy of the  
memorandum of Special Agent [redacted] dated Octo-  
ber 15, 1940. *b7c*

This case is being considered closed.

Very truly yours,

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/2/83 BY 6027/BJD/CLP  
440178

*V. W. Peterson*  
V. W. PETERSON  
Special Agent in Charge

VWP/jf  
65-1161  
cc New York (Enc)  
Pittsburgh (Enc)  
Enclosure

RECORDED

61-7421-3  
FEDERAL BUREAU OF INVESTIGATION  
2 OCT 1940  
U.S. DEPARTMENT OF JUSTICE  
*James  
FILE*

1 ENCL

*dm  
v*

39

~~Limited Classification  
Review Conducted  
See Top Serial  
7-4-74~~

July 15, 1941

AIR MAIL

STH:JR  
51055  
61-7421-4 ✓

RECORDED

Special Agent in Charge  
El Paso, Texas

RE: MAX HARKHEIMER;  
DR. FREDERICK POLLOCK  
INTERNAL SECURITY - G

Dear Sir:

There is transmitted herewith the laboratory report covering the examination of specimens submitted by your office in connection with the above-entitled matter and transmitted by your communication dated June 20, 1941.

Very truly yours,

*J. E. Hoover*

John Edgar Hoover  
Director

*1 encl.  
2*

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/2/99 BY 60262/RSK/CLM  
440178

Enclosure

cc - New York  
Los Angeles

COMMUNICATIONS SECTION  
MAILED  
JUL 16 1941

CH-21

*File lib  
JH  
SMH*

STH:JR

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

Limited Classification  
Review Conducted  
See Top Serial

Laboratory Report

July 15, 1941

File #

61-7421-4

Lab #

51055

Re:

MAX HANKEHEIMER  
DR. FREDERICK POLLOCK  
INTERNAL SECURITY - C

Examination requested by: El Paso

Reference: Letter 6-20-41

Examination requested: Cryptographic

Specimens:

Holland

61-7421-4 Q1 Translated copy of a WESTERN UNION TELEGRAM alleged to have been forwarded from Carlsbad, New Mexico, to Dr. Frederick Pollock, New York, New York on April 19, 1941, beginning "AGREE NEUMANN IF NO HARM.....".

Result of examination.

Specimen Q1 was examined but no evidence of a cryptographic nature was found.

A copy of specimen Q1 is being retained in the files of the Laboratory.

- 1-Bureau
- 2-El Paso
- 1-New York
- 1-Los Angeles
- 1-Laboratory

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/2/67 BY [signature]

440178

61-7421-4

[Handwritten initials]

**RECORDED**

DAF:GAJ  
62-7421 -4

July 18, 1941

Limited Classification  
Review Conducted  
See Top Serial  
Form 4-77

Special Agent in Charge  
El Paso, Texas

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED

DATE 10/21/99 BY 60243/RSK/CLW

440178

Dear Sir:

Re: MAX HORKHEIMER, alias  
Max Horkheimer;  
DR. FREDERICK POLLOCK;  
INTERNAL SECURITY (C)

Reference is made to your letter dated June 20, 1941, with which you enclosed a copy of a Western Union telegram forwarded from Carlsbad, New Mexico, on April 19, 1941, by Max Horkheimer, 429 West 117th Street, New York, New York, to Dr. Frederick Pollock, 90 Morningside Drive, New York, New York, and signed "Alright".

For your information, Max Horkheimer, who is thought to be identical with Max Horkheimer, is Director, and Frederick Pollock, Assistant Director of the International Institute of Social Research, 429 West 117th Street, New York, New York. This address is located within the campus of Columbia University and the institution was originally established in 1923 through several endowments, the largest coming from Dr. Hermann Weil of the University of Frankfurt. The Institute was established in Frankfurt, Germany, originally and remained there until 1933, when it was seized by the Nazi Government which closed its main school and library because of tendencies allegedly hostile to the new German Government. This school teaches a regular course in the Columbia University text in social sciences, philosophy, psychology, economics, sociology and law research.

After the school was closed in Germany and it attempted to reopen in other cities in Europe, such as Paris and Geneva, Switzerland, it accepted an invitation in 1934 from President Nicholas Murray Butler of Columbia University to join its faculty and maintain a separate and independent school known as the Institute of Social Research. This school is maintained by endowed funds which are handled by the Social Studies Association, Incorporated, 429 West 117th Street, New York, New York, and is housed in a building leased to the Institute by Columbia University. The staff and faculty of this Institute are composed of Max Horkheimer, Director, Frederick Pollock, Assistant Director, and approximately eight professors, all of whom are German

- Mr. Tolson \_\_\_\_\_
- Mr. E. A. Tamm \_\_\_\_\_
- Mr. Clegg \_\_\_\_\_
- Mr. Glavin \_\_\_\_\_
- Mr. Ladd \_\_\_\_\_
- Mr. Nichols \_\_\_\_\_
- Mr. Rosen \_\_\_\_\_
- Mr. Carson \_\_\_\_\_
- Mr. Dwyer \_\_\_\_\_
- Mr. Quinn Tamm \_\_\_\_\_
- Mr. Hendon \_\_\_\_\_
- Mr. Tracy \_\_\_\_\_
- Miss Gandy \_\_\_\_\_

RECEIVED  
★ JUL 18 1941 ★  
F. B. I.  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

*[Handwritten signature]*  
*[Handwritten initials]*

Jewish refugees, having been members of the faculty of the school in Germany prior to 1933. Most of them have become American citizens or signified their intention of so doing.

The telegram forwarded by your office contains several words that could possibly be code. However, it is suggested that these words in most instances at least are names of other German Jewish refugees. Specifically in this regard is mentioned "Lowenthalls" who may be identical with Leo Lowenthal, a professor at the above-mentioned Institute. It is believed that the telegram in its entirety referred to legitimate transactions of the above Institute or projects in which the Institute is interested, and accordingly it is not desired that any additional investigation be conducted. However, a separate Laboratory report will be submitted with regard to the possible cryptographic value of the telegram enclosed with your reference letter.

Very truly yours,

John Edgar Hoover  
Director

Mr. Tolson \_\_\_\_\_  
Mr. E. A. Tamm \_\_\_\_\_  
Mr. Clegg \_\_\_\_\_  
Mr. Foxworth \_\_\_\_\_  
Mr. Glavin \_\_\_\_\_  
Mr. Ladd \_\_\_\_\_  
Mr. Nichols \_\_\_\_\_  
Mr. Rosen \_\_\_\_\_  
Mr. Carson \_\_\_\_\_  
Mr. Drayton \_\_\_\_\_  
Mr. Quinn Tamm \_\_\_\_\_  
Mr. Hendon \_\_\_\_\_  
Mr. Tracy \_\_\_\_\_  
Miss Gandy \_\_\_\_\_  
\_\_\_\_\_

Federal Bureau of Investigation  
United States Department of Justice

POST OFFICE BOX 1679  
EL PASO, TEXAS  
JUNE 20, 1941

Limited Classification  
Review Conducted  
See Top Serial  
Form 4-77

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/2/99 BY 60321/stk/br  
440178

Director  
Federal Bureau of Investigation  
Washington, D. C.

RE: MAX HARKHEIMER;  
DR. FREDERICK POLLOCK  
INTERNAL SECURITY - G

Dear Sir:

The attached is a copy of a Western Union telegram forwarded from Carlsbad, New Mexico on April 19, 1941 by Subject MAX HARKHEIMER of 429 West 117 Street, New York, New York, and possibly a professor at Columbia University, New York, to Subject Dr. FREDERICK POLLOCK, 90 Morningside Drive, New York City, which telegram was signed "ALRIGHT". The sender was required by a Western Union representative to give his name, and he gave the name HARKHEIMER to the representative.

It is requested that the Technical Laboratory decode any of the words appearing in the attached telegram which appear to be in code; and furnish the New York, Los Angeles and El Paso offices with the result of such translation.

Very truly yours,

*D. A. Bryce*  
D. A. BRUCE  
Special Agent in Charge

Enc.  
ARG-W  
100-581

INDEXED

RECORDED

61-7421-11  
FEDERAL BUREAU OF INVESTIGATION  
8 JUN 24 1941  
U.S. DEPARTMENT OF JUSTICE  
LAW  
FIVES

UNRECORDED COPY TO INVEST. UNIT.  
ORIGINAL TO LABORATORY

*Frederick Pollock*

*7/2/41*

*Hillman*

*Ed Paul  
7-18-41  
RAT*

Submitted by the  
El Paso Field Division  
for decoding  
El Paso File 100-581

Copies of translation to New York, Los Angeles and El Paso

0 1  
AGREE NEUMANN IF NO HARM TO PROJECT THROUGH ACKNOWLEDGMENT  
OF MY ABSENCE. INSTRUCTION FAVEZ SHOULD BE WORDED VERY  
CAREFULLY IN ORDER TO AVOID MISUNDERSTANDING BY HERN OFFICES.  
SUGGEST CABLE ASKING WHETHER FAVEZ PROCURED MEDICAL CERTIFICATE  
AND WHETHER REGARDING SITUATION SHE THINKS APPLICATION  
ADVISABLE. PLEASE TELL ME LOWENTHALS PROPOSITION. SEND  
LETTERS AND SILVERPERLS TO LASZLO. CONSULT TEDDIE AND OTHERS  
WHAT CAN POSSIBLY BE DONE TO BACK PROJECT FURTHER. DID YOU  
GIVE MEMORANDUM TO UACIVER SHALL WIRE NEXT TELEGRAMM ADDRESS  
TOMORROW.

ALRIGHT

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/12/95 BY [signature]  
440178

# FEDERAL BUREAU OF INVESTIGATION

Classified  
 Period Conducted  
 Serial

Form No. 1  
 THIS CASE ORIGINATED AT **EL PASO, TEXAS**

FILE NO. 100-*1812*

REPORT MADE AT <b>EL PASO, TEXAS</b>	DATE WHEN MADE <b>6-20-41</b>	PERIOD FOR WHICH MADE <b>4-21; 5-11-41</b>	REPORT MADE BY <b>[REDACTED]</b> <i>b7c</i>
TITLE <b>MAX HARKHEIMER; DR. FREDERICK POLLOCK</b>			CHARACTER OF CASE <b>INTERNAL SECURITY - G</b>

**SYNOPSIS OF FACTS:** Subject MAX HARKHEIMER forwarded a coded telegram from Carlsbad, N. M. to Subject Dr. FREDERICK POLLOCK, New York City on 4-19-41. MAX HARKHEIMER, wife, and chauffeur LLOYD SNOW stopped at El Paso, Texas 4-20-41 enroute to Los Angeles, California.

- P -

On April 21, 1941 INFORMANT A furnished the El Paso office with a copy of the following telegram (Western Union Tourate) which was forwarded from Carlsbad, New Mexico on April 19, 1941 by MAX HARKHEIMER to Dr. FREDERICK POLLOCK, 90 Morningside Drive, New York City, the telegram being signed "Alright" by the sender. Upon being required to furnish his name, he gave the name of HARKHEIMER.

"AGREE NEUMANN IF NO HARM TO PROJECT THROUGH ACKNOWLEDGMENT OF MY ABSENCE. INSTRUCTION FAVEZ SHOULD BE WORDED VERY CAREFULLY IN ORDER TO AVOID MISUNDERSTANDING BY BERN OFFICES. SUGGEST CABLE ASKING WHETHER FAVEZ PROCURED MEDICAL CERTIFICATE AND WHETHER REGARDING SITUATION SHE THINKS APPLICATION ADVISABLE. PLEASE TELL ME LOWENTHALS PROPOSITION. SEND LETTERS AND SILVERPERLS TO LASZLO. CONSULT TEDDIE AND OTHERS WHAT CAN POSSIBLY BE DONE TO BACK PROJECT FURTHER. DID YOU GIVE MEMORANDUM TO UACIVER SHALL WIRE NEXT TELEGRAMM ADDRESS TOMORROW.

ALRIGHT"

AGENCY *ICC USA*  
 REC'D *10-16-56*  
 REP'D FORW. *11-2-56*  
 BY *W.P.B. 912*

RECORDED & INDEXED  
 DATE *10/1/56*  
 BY *1022/1/1/56/1/56*

INDEXED  
*10/1/56*

APPROVED AND FORWARDED: <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES		
COPIES OF THIS REPORT 5-Bureau 2-Los Angeles 2-New York 2-El Paso  <i>CH-22</i>		<i>61-7421</i>	<i>5</i>	JUL 10 1941
		JUN 24 1941 <i>[Signature]</i>		

On the same date, Subject HARKHEIMER, signing his name as "ALRIGHT", forwarded the following telegram to Dr. FREDERICK POLLOCK, 90 Morningside Drive, New York City:

"MOTEL STEVENS CARLSBAD NEW MEXICO UNTIL  
EARLY SUNDAY MORNING.  
ALRIGHT."

INFORMANT A advised that his informant stated that MAX HARKHEIMER and wife, 429 West 117 Street, New York, New York were driving a 1941 Century Buick automobile, gray color, New York license CU-439 and that they arrived in Carlsbad, New Mexico about April 18, 1941 and were scheduled to stop at LA POSTA LODGE, El Paso, Texas on the night of April 20 or 21 and would then proceed to Phoenix Arizona.

It was ascertained from [REDACTED] proprietor of the LA POSTA LODGE on Highway 80 north of El Paso that on April 20, Dr. and Mrs. MAX HARKHEIMER, Columbia University, New York City, New York, driving a Buick automobile with New York license plates number CU-439, together with their chauffeur LLOYD SNOW, registered in two cabins, and departed for Los Angeles on April 21, 1941. At the La Posta Lodge, LLOYD SNOW at the time he registered, gave his address as the Rex Arms Apartments, Wilshire Boulevard, Los Angeles, California. b7C b7D

INFORMANT A also stated that in the automobile was found a registration certificate for the Buick automobile showing that the same was registered in the name of Dr. FREDERICK POLLOCK, 12 West 77 Street, New York City, but that other papers indicated that Subject MAX HARKHEIMER had had the automobile in his possession for about a month.

A copy of the coded telegram quoted in this report is being forwarded to the Bureau under separate cover for translation in the technical laboratory.

UNDEVELOPED LEADS

THE LOS ANGELES FIELD DIVISION

AT LOS ANGELES, CALIFORNIA

Will locate and interview LLOYD SNOW at the Rex Arms Apartments, Wilshire Boulevard, ascertaining from him all information in his possession relative to Subject MAX HARKHEIMER and any matters of interest observed by him on the trip from New York City to Los Angeles, and the present whereabouts of MAX HARKHEIMER and wife.

THE NEW YORK FIELD DIVISION

AT NEW YORK CITY, NEW YORK

Will ascertain the occupation and background of Subject MAX HARKHEIMER, 429 West 117 Street and at the Columbia University; and also the occupation and background of Subject Dr. FREDERICK POLLOCK, 90 Morningside Drive and 12 West 77 Street, New York City. Will make such investigation as may be desirable to determine the purport of the first telegram quoted in this report.

PENDING

INFORMANTS

INFORMANT A mentioned in this report is  
██████████ District Supervisor of the  
United States Immigration and Naturalization  
Service.

b7c

# FEDERAL BUREAU OF INVESTIGATION

THIS CASE ORIGINATED AT *LOS ANGELES*

FILE NO. *b7c*

REPORT MADE AT <b>LOS ANGELES</b>	DATE WHEN MADE <b>7/15/41</b>	PERIOD FOR WHICH MADE <b>7/5/10/41</b>	REPORT MADE BY <b>[REDACTED]</b>
TITLE <b>Changed</b>			CHARACTER OF CASE <b>INTERNAL SECURITY G</b>
MAX HARKHEIMER; with aliases, Dr. M. Horkheimer, Dr. N. Horkheimer; Dr. FREDERICK POLLOCK.			<i>Limited Classification Applied Conducted</i>

**SYNOPSIS OF FACTS:**

Chauffeur LLOYD SNOW not located at Los Angeles, California. Dr. and Mrs. HORKHEIMER resided at Montecito Apartments, 6650 Franklin Avenue, Los Angeles, California, in August and September, 1940, but have not returned there.

*ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 10/15/88 BY 240175*

*REC'D - 7/16/41*  
*NEW YORK*  
*BY [REDACTED]*

- RUC -

**REFERENCES:** Letter from Los Angeles to New York 10/1/40 (cc Bureau); Report of Special Agent [REDACTED] El Paso 6/20/41.

**DETAILS:**

The title of this report is marked "Changed" because of the addition of aliases for Subject MAX HARKHEIMER: Dr. M. Horkheimer, Dr. N. Horkheimer.

The files of the Los Angeles Division reflect that by letter dated October 1, 1940, the New York Division was advised that [REDACTED] night clerk of the Montecito Apartments, Los Angeles, California, had furnished a copy of a telegram sent by Dr. N. HORKHEIMER to FREDERICK POLLOCK, in care of FREDERICK MATHEWS, Haines Falls, New York. [REDACTED] had advised that HORKHEIMER had been at the Montecito Apartments for about two weeks and signed some telegrams "ALRIGHT" and others by his right name.

APPROVED AND FORWARDED: <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES
COPIES OF THIS REPORT		INDEXED
5 Bureau 2 El Paso 2 New York 2 Los Angeles		JUL 18 1941 61-7421-6 28 1941

CH-6

b7D

The copy of the telegram produced by [REDACTED] is as follows:

"AGREE ANALYSIS BUT SECTION TWO SHOULD BE DEVOTED TO INTERESTING PROBLEMS SUCH AS NIETZSCHE DEMOCRATIC PRESS EXPRESSIONISM RATHER THAN TO CONVENTIONAL HISTORIOGRAPHY PROBLEMS SHOULD ENLIGHTEN AMERICAN PUBLIC AND ILLUSTRATE CERTAIN POINTS OF ANALYSIS MY LETTER EIGHTEENTH COMPLETED BY LETTERS NINETEENTH TWENTIETH PLEASE SEND THREE MORE ENGLISH ISSUES AIRMAIL."

b7D    /s/ D "ALRIGHT"

Mr. [REDACTED] Clerk at the Rex Arms Apartments, 945 Wilshire Boulevard, Los Angeles, California searched his records and advised that there was no record of anyone named LLOYD SNOW residing there during the past three years. He also failed to locate any record under the name of HORKHEIMER or HARKHEIMER. He suggested that it was not likely that a chauffeur would reside there and suggested that inquiry be made at the Rex Arms Garage, located next door. It is noted that the Rex Arms Apartments appears to be a medium class apartment house.

b7D    At the Rex Arms Garage, 951 Wilshire Boulevard, Agent contacted Mr. [REDACTED]. He advised that he has no knowledge of any person named LLOYD SNOW.

b7D    At the Montecito Apartments, 6650 Franklin Avenue, Agent interviewed [REDACTED] who produced records showing that Dr. and Mrs. M. HORKHEIMER had registered on August 13, 1940, and had stayed until September 27, 1940, paying \$30.00 per week. They gave the address of 34 Ridgcroft Road, Bronxville, New York.

b7D    [REDACTED] advised that she recalled the HORKHEIMERS as appearing to be nice people and her first thought was that he was engaged in the musical profession but she then recalled that he was a professor at Columbia University, New York City. She advised that when they left Dr. HORKHEIMER mentioned that they might come back but they have not returned as yet.

- REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN -

Federal Bureau of Investigation  
United States Department of Justice

Los Angeles, California  
July 24, 1941

Limited Classification  
Review Conducted  
on 11/17/78

Director  
Federal Bureau of Investigation  
Washington, D. C.

Re: MAX HARKHEIMER, with aliases;  
DR. FREDERICK POLLOCK;  
INTERNAL SECURITY - G

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/13/94 BY  
SP4/BJL/STW

Dear Sir:

Reference is made to the report of Special Agent [redacted] Los Angeles, dated July 15, 1941, in the above entitled matter.

b7C  
b7D

On July 16, 1941, [redacted] Manager, Hooper Holmes Bureau, 1709 West 8th Street, Los Angeles, telephonically advised that Dr. MAX HORKHEIMER had recently moved to 13524 Deste Drive, Pacific Palisades, California, and in New York was reported to have been connected with the International Institute of Social Research, 429 West 117th Street, New York City. This organization's main function is alleged to be the placing and exchanging of students of various foreign countries. Confidentially, the Hooper Holmes Bureau had received an inquiry from an insurance company in New York City with regard to Subject's activities.

This is submitted for the information of the Bureau and the offices receiving copies of this letter, and no further investigation in this district is contemplated in the absence of a specific request for same.

Very truly yours,

*R. B. Hood*  
R. B. HOOD  
Special Agent in Charge

CH 13

RECEIVED  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE  
100-6036  
JUL 30 2 53 PM '41  
cc - El Paso  
cc - New York City  
cc - [redacted]  
cc - [redacted]

RECORDED

61-7421-7

7/31/41  
S. J.

# FEDERAL BUREAU OF INVESTIGATION

~~Unlimited Classification Review Conducted~~

Form No. 1

THIS CASE ORIGINATED AT **EL PASO, TEXAS**

FILE NO. **100-581**

REPORT MADE AT <b>EL PASO, TEXAS</b>	DATE WHEN MADE <b>8/1/41</b>	PERIOD FOR WHICH MADE <b>7/25/41</b>	REPORT MADE BY <b>[REDACTED]</b> <span style="float: right;">MSS</span>
TITLE <b>MAX HARKHEIMER, with aliases, DR. M. HORKHEIMER, DR. N. HORKHEIMER; DR. FREDERICK POLLOCK</b>			CHARACTER OF CASE <b>INTERNAL SECURITY - G</b>

**SYNOPSIS OF FACTS:**

Laboratory report received from the Bureau indicates that there was no evidence of a Cryptographic nature found in the telegram forwarded by Subject, HARKHEIMER, from Carlsbad, N.M., on 4/19/41. Bureau directs that investigation be discontinued and closed.

- G -

*3 5 W*

**REFERENCES:** Report of Special Agent [REDACTED] El Paso, Texas, dated 6/20/41.  
Report of Special Agent [REDACTED] (A), Los Angeles, California, dated 7/15/41.  
Letter from the Bureau dated 7/18/41.

**DETAILS:**

Laboratory report #51055, dated July 15, 1941, Bureau File #61-7421-4 was received from the Bureau, which stated that as a result of the examination of the Western Union Telegram, forwarded by Subject HARKHEIMER from Carlsbad, New Mexico, to DR. FREDERICK POLLOCK, New York, New York, on April 19, 1941, no evidence was found of a Cryptographic nature contained therein.

Letter of reference from the Bureau stated that Subjects' are members of the faculty of Columbia University, New York City, and maintain a separate and independent school, known as the INSTITUTE OF SOCIAL RESEARCH, and stated that it is not desired that any additional investigation be conducted concerning Subjects'.

*u.s. 12*  
*10-16-41*  
*FORM 11-2-41*  
*W.P.B. jtz*

- CLOSED -

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE *10/12/75* BY *60262/STG/STP*  
*440179*

APPROVED AND FORWARDED: <i>W.A. Bruce</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES OF THIS REPORT 5 - Bureau 1 - New York (Inf.) 1 - Los Angeles (Inf.) 2 - El Paso <b>CH-23</b>	61-7421-8 <b>AUG 4 1941</b>	<b>AUG 6 1941</b>

Limited Classification  
Review Conducted  
See Top Secret  
Form 4-774

~~CONFIDENTIAL~~

September 11, 1941

61-7421 -9  
ODA:DXM

RECORDED

DECLASSIFIED BY 6026 JWS/CLK  
ON 10/13/91

440178

Assistant Director, E. J. Connelley  
New York, New York

Re: DR. FREDERICK POLLOCK  
MAX HARTSHORN, vna  
INTERNAL SECURITY - G

Dear Sir:

Reference is made to your letter of August 8, 1941, in which you set forth [an intercepted telegram] received at the New York Office under date of May 2, 1941, from [redacted] 8-2, Governor's Island, New York.

b7D

In this regard, your attention is directed to the fact that [this telegram] has been previously submitted to the Bureau by the El Paso, Texas Office and a laboratory report indicated that there was no evidence of a cryptographic nature found [in the telegram]. Accordingly, a letter was directed to the El Paso Office on July 18, 1941, directing that office to discontinue investigation in this matter and place it in a closed status.

The following information was available in the files with respect to the activities of the captioned individuals: Max Horkheimer, who is thought to be identical with Max Hartshorn, is Director, and Frederick Pollock, Assistant Director of the International Institute of Social Research, 129 West 117th Street, New York, New York. This address is located within the campus of Columbia University and the institution was originally established in 1925 through several endowments, the largest coming from Dr. Hermann Mehl of the University of Frankfurt. The Institute was established in Frankfurt, Germany, originally and remained there until 1933, when it was relocated to New York, New York, which placed its main school and library beyond all technicalities allegedly hostile to the New German Government. This school teaches a regular course in the Columbia University text in social sciences, philosophy, psychology, economics, sociology and law research.

- Mr. Tolson \_\_\_\_\_
- Mr. E. A. Tamm \_\_\_\_\_
- Mr. Clegg \_\_\_\_\_
- Mr. Foxworth \_\_\_\_\_
- Mr. Glavin \_\_\_\_\_
- Mr. Ladd \_\_\_\_\_
- Mr. Nichols \_\_\_\_\_
- Mr. Rosen \_\_\_\_\_
- Mr. Carson \_\_\_\_\_
- Mr. Egan \_\_\_\_\_
- Mr. Gurnea \_\_\_\_\_
- Mr. Hendon \_\_\_\_\_
- Mr. Tracy \_\_\_\_\_
- Miss Gandy \_\_\_\_\_

COMMUNICATIONS SECTION  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

~~CONFIDENTIAL~~

Classified  
Declassify on: per Army Lt. H.H. 10/19/92  
3/5/91

64 114

Federal Bureau of Investigation  
United States Department of Justice  
607 United States Court House  
Foley Square  
New York, New York

United Classification  
Conducted  
Serial

August 8, 1941

LL:DS

Director  
Federal Bureau of Investigation  
Washington, D. C.

DECLASSIFIED BY 6026/mr/edem  
ON 10/13/99  
440178

RE: DR. FREDERICK POLLOCK  
MAX HARKHEIMER

Dear Sir:

The following quoted communication was received at the New York Office under date of May 2, 1941 from [REDACTED] G-2, Governor's Island, New York:

"Subject: INTERCEPTED TELEGRAM

"1. A report has been received from Texas to the effect that the following telegram was sent to one DR. FREDERICK POLLOCK, 90 Morningside Drive, New York City by a MAX HARKHEIMER, from Carlsbad, New Mexico, on April 19, 1941:

"DR. FREDERICK POLLOCK (TOURABE)--90 Morningside Drive, N. Y. C.

AGREE NEUMANN IF NO HARM TO PROJECT THROUGH ACKNOWLEDGMENT OF MY ABSENCE. INSTRUCTION FAVEZ SHOULD BE WORDED VERY CAREFULLY IN ORDER TO AVOID MISUNDERSTANDING BY BERN OFFICES. SUGGEST CABLE ASKING WHETHER FAVEZ PROCURED MEDICAL CERTIFICATE AND WHETHER REGARDING SITUATION SHE THINKS APPLICATION ADVISABLE. PLEASE TELL ME LOWENTHALS PROPOSITION. SEND LETTERS AND SILVERPERLS TO LAZLO. CONSULT TEDDIE AND OTHERS WHAT CAN POSSIBLE BE DONE TO BACK PROJECT FURTHER. DID YOU GIVE MEMORANDUM TO UACIVER SHALL WIRE NEXT TELEGRAMM ADDRESS TOMORROW.

(Western Union required the name of sender which was HARKHEIMER)

ALRIGHT

61-7421-9  
FEDERAL BUREAU OF INVESTIGATION  
5 AUG 19 1941

"2. While nothing of a subversive nature is known regarding the sender or the addressee, the contents of the telegram

9/22/52  
Classified by [unclear] 10/4/92  
Declassify on: OADR 348,514

514  
(u)

FIVE ON

CONFIDENTIAL

Bureau

~~CONFIDENTIAL~~

August 8, 1941.

excite suspicion, and this is transmitted as being of possible interest to you.

"3. Addition information supplied with the report stated that MAX HARKHEIMER and wife, address 429 West 117th Street, New York, arrived in Carlsbad, New Mexico about April 18. Harkheimer is stated to be about 45 or 50 years of age, 175 pounds; driving 1941 Century Buick, color grey, License New York CU-439. Planned to stay at LA POSTA LODGE, El Paso, Texas, night of the 20th and possibly the 21st. Will proceed from there to Phoenix, Arizona. They plan to visit Juarez, Mexico, while in El Paso."

The Bureau's attention is invited to a copy of letter dated August 13, 1940, directed to Mr. Louis F. Costuma, Chief Inspector Police Department, New York, New York (Bureau File #61-7421) with which were transmitted copies of the incoming communications. It is observed that the communication from Inspector Costuma to the Chief of Police, Provincetown, Massachusetts, dated July 31, 1940 sets forth data concerning the International Institute of Social Research, 429 West 117th Street, New York City, and that one of the staff is Frederick Pollock, Assistant Director.

A letter is being directed to the New York Police Department requesting them to make a preliminary investigation concerning MAX HARKHEIMER and DR. FREDERICK POLLOCK.

Very truly yours,

*T. J. Donegan*  
*TDW*

T. J. DONEGAN, Acting for  
E. J. CONNELLEY,  
Assistant Director

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

Assistant Director E. J. Connelley

- 2 -

Columbia University to join its faculty and maintain a separate and independent school known as the Institute of Social Research. This school is maintained by endowed funds which are handled by the Social Studies Association, Incorporated, 429 West 117th Street, New York, New York, and is housed in a building loaned to the Institute by Columbia University. The staff and faculty of this Institute are comprised of Max Horkheimer, Director, Frederick Pollock, Assistant Director, and approximately eight professors, all of whom are German Jewish refugees, having been members of the faculty of the school in Germany prior to 1933. Most of them have become American citizens or signified their intention of so doing.

[The telegram<sup>10/14</sup> forwarded by your office contains several words that could possibly be code. However, it is suggested that these words in most instances at least are names of other German Jewish refugees. Specifically in this regard is mentioned "Lowenthalls", who may be identical with Leo Lowenthal, a professor at the above-mentioned Institute. It is believed that the telegram in its entirety referred to legitimate transactions of the above Institute or projects in which the Institute is interested, and accordingly it is not desired that any additional investigation be conducted.]

Very truly yours,

John Edgar Hoover  
Director

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1  
THIS CASE ORIGINATED AT **NEW YORK CITY**

NY FILE NO. **100-10808** HM

REPORT MADE AT <b>NEW YORK CITY</b>	DATE WHEN MADE <b>10/15/41</b>	PERIOD FOR WHICH MADE <b>8/29/41</b>	REPORT MADE BY <b>[REDACTED]</b>
TITLE <b>DR. HENRY R. GROSSMAN, alias Henry Grossman</b>		CHARACTER OF CASE <b>INTERNAL SECURITY - G</b>	

**SYNOPSIS OF FACTS:** NYCPD investigation reflects subject born Poland 4/14/81; first entered US 10/14/37; applied for citizenship 6/3/38. Subject associated with INTERNATIONAL INSTITUTE OF SOCIAL RESEARCH, Columbia Univ. NY. This institute originally established UNIV. OF FRANKFURT as endowed school until closed by Nazi govt. 1933. Subject receives approximately \$200 per month from Institute as authority on Economics and History. Reported to have served as Captain in Austrian Army, World War. Later became Polish citizen. Reported to be quiet person who observes present war closely.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/13/95 BY 60321/KSK/EP  
**440178**

AGENCY IPC - [REDACTED]  
REQ. REC'D 8-25-53  
REP'T FORW. 9-17-53  
BY JJ D - JFH

**REFERENCE:** Bureau letter dated August 13, 1940, Bureau file 61-7421, addressed to Chief Inspector [REDACTED] New York City Police Department. b7c

**DETAILS:** Investigation in this matter was requested of the New York City Police Department, who reported as follows under date of June 24, 1941:

"1. This Department's original investigation of **DR. HENRY GROSSMAN** was made by Det. [REDACTED] #1292, of the Alien Squad, on a complaint from the Provincetown, Mass. Police Department. DR. GROSSMAN was at that time vacationing at Cape Cod and was reported

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COPIES OF THIS REPORT: 3 - Bureau 3 - New York RECEIVED <b>7 DEC 31 1941</b>	61-7421-10 <b>NOV 17 1941</b> <i>[Signatures]</i>

to have important data on the location of harbors, etc. around Provincetown, and to have questionable identification.

"2. GROSSMAN occupies a 3-room apartment at 521 West 111 St., New York City. He was born in Krakow, Poland, April 14, 1881 and is now 60, 5'5 1/2", 150 lbs., with blue eyes, curly sandy hair, ruddy complexion and a round face. He speaks with an accent. His first entry into the U.S. was on October 14, 1937 on the SS NORMANDIE, on a visitor's passport. He went to Havana Cuba to obtain a permanent visa, sailing April 6, 1938 on the SS ORIENTE and returning on the SS SIBONEY, destined to DR. MAX HORKHEIM, COLUMBIA UNIVERSITY.

"#3. GROSSMAN applied for first citizenship papers June 3, 1938, #2-590104, giving his occupation as economist and his last residence as London, England. He gave his race as Polish Hebrew. He was married in Vienna, December 2, 1908, but was later divorced. His two sons, JAN HENRYK, 31, and STANISLAW, 27, are both still living in Poland.

"4. The INTERNATIONAL INSTITUTE OF SOCIAL RESEARCH, with which DR. GROSSMAN is associated, is now located at 429 West 117 Street on the COLUMBIA UNIVERSITY CAMPUS. It was originally established in 1923 at the UNIVERSITY OF FRANKFURT, as an endowed school, and remained there until it was closed by the Nazi government in 1933. It made several attempts to reestablish itself in Europe at Paris, and Geneva, and finally joined the faculty of Columbia at the invitation of DR. NICHOLAS MURRAY BUTLER. Its endowment funds are handled by the SOCIAL STUDIES ASSOCIATION, INC., 429 West 117 Street. Its faculty is composed of German Jewish refugees who taught in the original institute in Germany and who have now applied for American citizenship.

"5. As an authority on Economics and History, DR. GROSSMAN receives about \$200 per month from the Institute., and in addition to his teaching does a great deal of research work in connection with a book which he is writing about the 13th Century. Several other of his writings are available at the Columbia Library.

"6. GROSSMAN is reputed to have served as a captain in the Austrian Army during the last war and at the end of the war when Poland was set up became a Polish citizen. He directed the last Polish census, and in 1924 went to Frankfurt, Germany, at the invitation of the Institute to become one of its professors. When the Institute was forced to leave Germany, he went to Paris and London and finally came to the U.S.

100-5808

"7. Where he lives GROSSMAN is regarded as a very quiet person who does not have many visitors. He has a large library in his apartment and a quantity of liquor. He seems to follow the present war very closely, by means of numerous newspaper clippings, but is more interested in the economic developments than the military.

"8. With the exception of the report originally mentioned, there is no record for GROSSMAN in the criminal or subversive files of this Department. A copy of the Alien Squad report dated July 22, 1940 is believed to have been sent to the F.B.I. at that time. This investigation was made by Ptl. [REDACTED] Special Squad #1." b7c

\*\*\*\*\*

It is noted that, on the enclosures transmitted with reference letter, subject's name is spelled as DR. HENRY R. BROSSMAN and HENRYK GROSSMAN.

CLOSED

Bureau of Investigation  
United States Department of Justice  
New York, New York

Classification  
Review Conducted  
See Top Serial  
Form

DFMcW:FK  
100-13299

October 9, 1942.

*May*

Director,  
Federal Bureau of Investigation,  
Washington, D. C.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 10/13/99 BY 60261/RS/CLD/BA  
440178

RE: DR. FREDERICK POLLOCK;  
MAX HARKHEIMER, wa;  
INTERNAL SECURITY - C

Dear Sir:

Reference is made to your letter dated September 11, 1941 in instant case (Bureau file 61-7421).

The subjects in this case are associates of the International Institute of Social Research, 429 West 117th Street, New York City. The New York City Field Division has conducted some investigation of the International Institute of Social Research and FELIX WEIL, ARKADIJ GURLAND and KARL AUGUST WITTFOGEL, on the basis of a letter received from the Bureau dated May 16, 1942, entitled FELIX WEIL; INTERNAL SECURITY - C. This letter reported that the Bureau had received information from Confidential Informant BOB M that FELIX WEIL, who had inherited a large fortune from his father HERMAN WEIL, had established a research organization in New York City, connected with a New York University and which served as an economic report center for the Comintern through KARL AUGUST WITTFOGEL and ARKADIJ GURLAND who acted as Soviet agents in the Institute.

*b7c*

Investigation at New York City was reported in the report entitled FELIX LUCIO JOSE WEIL, et al; INTERNAL SECURITY, dated September 4, 1942 by Special Agent [redacted] which reflected that the organization reported by Confidential Informant BOB M was the International Institute of Social Research. Inasmuch as reference letter reflected that the Bureau has the background of the Institute and further that reference letter contained a request that no additional investigation be conducted of the subjects of this Institute, the investigative file concerning the subjects in instant case will be closed in the New York City Office unless advised to the contrary.



**COPY IN FILE**

58 NOV 12 1942

Very truly yours, 8

P. E. FOXWORTH  
Assistant Director.

RECORDED 1-7421-11

INDEXED

OCT 12 1942

*Five*

# FEDERAL BUREAU OF INVESTIGATION

Limited Classification  
Review Conducted  
See Top Serial  
Eggs 6/21/44 NJG

Form No. 1  
THIS CASE ORIGINATED AT **NEW YORK, NEW YORK**

NY FILE NO.

REPORT MADE AT <b>NEW YORK, NEW YORK</b>	DATE WHEN MADE <b>1/19/44</b>	PERIOD FOR WHICH MADE <b>9/9-11; 10/21, 26; 11/1-4, 8-11, 13, 15-17, 19, 20; 12/15/43</b>	REPORT MADE BY <b>[REDACTED]</b>
TITLE <b>JULIAN GUMPERZ; MARGIT LIDIA BUSCH, with alias as Lydia Buschova St. Clair, Lydia St. Clair</b>			CHARACTER OF CASE <b>ESPIONAGE - G</b>

**SYNOPSIS OF FACTS:**

**JULIAN GUMPERZ**, American born, German educated Economist and Investment Counsel, New York City, observed near Montauk Point, L.I. taking pictures in the vicinity of military installations. **GUMPERZ** accompanied by **MARGIT LIDIA BUSCH**, Czechoslovakian refugee, who resides at East Hampton, L.I. and NYC. Background investigation indicates no espionage activity on part of either subject. Confidential Informant [REDACTED] states subject **BUSCH** loyal citizen from Czechoslovakia.

**DETAILS:**

On August 28, 1943 information was received at the New York Field Division from Confidential Informant A, whose identity is known to the Bureau, indicating that a man named **JULIAN GUMPERZ** and a woman named **St. Clair** had been caught near Montauk Point, Long Island, taking pictures in the vicinity of military installations. It was stated that the subjects were apprehended by the State Police at Police Barracks, Montauk Point, Long Island. It was stated that **ST. CLAIR** was reported to be a Czechoslovakian citizen who had been in the United States for four years and had first papers; and that subject **GUMPERZ** was a United States citizen and a resident of New York. The same source advised two hours later that the subjects had been released.

On the same date information was received from Sergeant [REDACTED] of the State Police Barracks, Montauk Point, Long Island, advising substantially the same information as was furnished by Confidential Informant A. However,

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HEREIN IS UNCLASSIFIED  
DATE 10/12/99 BY 10026/AB/ML/CM  
440178

APPROVED AND FORWARDED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES
COPIES OF THIS REPORT 5 - Bureau 1 - [REDACTED] 1 - [REDACTED] 2 - New York 1 - [REDACTED]		7421-12 28 JAN 20 1944 
COPY IN FILE 100-92001-41144-26		RECORDED & INDEXED EX-33

NY 65-13898

b7c  
he advised that after questioning, the two subjects had been released by Lieutenant [REDACTED] of the 115th Infantry, U.S. Army located at Montauk Point, Long Island.

In checking the indices of the New York Field Division, it was noted that under date of April 15, 1942 a letter was received from one FRANK D. FAACKENTHAL of Columbia University, stating that Dr. JULIAN GUMPERZ, 50 Central Park West was a member of the Institute of Social Research. Mr. FAACKENTHAL advised that the above mentioned organization had been recommended to Columbia University and as a result had rented space in one of the University buildings, 429 West 117th Street. He stated that the organization was formerly located at Frankfurt, Germany. It was noted that no specific allegations were made except that Dr. GUMPERZ spoke the German language; and that the organization had a lot of waste paper all of which Mr. FAACKENTHAL stated would probably be only natural.

b7c  
A check at the Credit Bureau of Greater New York conducted by Special Employee [REDACTED] indicates that subject JULIAN GUMPERZ and his wife resided at 50 Central Park West, New York City, on May 11, 1940. Previous residences indicated that they had lived at the Barbizon Plaza Hotel, 125 West 57th Street and that the subject was in business for himself at 610 Fifth Avenue, New York City. It was stated that Mr. GUMPERZ was in business for himself as an economist and had been at 610 Fifth Avenue for about nine years; that he had offices in a space rented from an Attorney, IRA MAIER, in Room 619. The credit report reflected an interview with Mr. MAIER regarding GUMPERZ at which time MAIER spoke very highly of the subject and indicated that he was very active in his work as an economist and that he employed four persons as office assistants. It was also indicated that GUMPERZ was the New York representative for certain Dutch banking interests.

A check of the Bureau of Criminal Identification, New York City, Police Department revealed that the subject had no criminal record, however, he was fined \$5. on November 21, 1942 in Traffic Court, New York City.

An exhaustive search made at the Bureau of Immigration and Naturalization New York City, resulted negatively and it was assumed that the subject was a native born citizen.

b7c  
Confidential Informant [REDACTED] indicates that the subject on October 30, 1942 was forty-three years old, married, native born, and a graduate of the University of Heidelberg in Germany, having obtained a degree as a Doctor of Philosophy, and it was also pointed out that he had majored in economics and had been operating as an economist in New York City for several years. It

NY 65-15298

was also stated that he had been interested in the International Institute of Social Research, 429 West 117th Street, New York City, and it was reported that the above mentioned organization was controlled by Columbia University. It was stated that GUMPERZ deals in private investment and has an office located at 310 Fifth Avenue. He was formerly President of Master Scores Inc., music publishers.

It was noted that the subject maintained a bank account at the Bankers Trust Company. From a report dated July 3, 1943 it was noted that the subject was secretary of the National Distribution Research Corporation, Business Consultants, 240 Madison Avenue. It was stated that the above concern deals in the distribution, manufacturing and wholesaling of metal products. Mr. LEON N. WEISS, 81 Center Avenue, New Rochelle, New York, was reported to be accountant for the concern and the total assets were \$179,000.

From information obtained through Confidential Informant T-1 at New York City, it was noted that considerable investigation had been conducted regarding subject GUMPERZ, and in reviewing this information, it was noted that the information contained therein was substantially the same as provided above. However, through an informant, which T-1 did not mention, it was noted that the subject opened a bank account in March, 1934 and that it was believed that he represented investments of certain Dutch interests and that he formerly had an account with the Paris, France, Office Branch of the same concern where the above information was obtained by T-1. It was stated that in June, 1934 he introduced to this bank Mrs. CHARLOTTE SNEIDERS. It was stated that he also introduced his cousin JOEL HEINZ, 667 High Street, Newark, New Jersey; this account is now closed.

In 1934 GUMPERZ introduced to this bank the account of Dr. ERNST BLOCH, a refugee from Germany who was then residing with Dr. K. Goldstein, 10 East 85th Street, New York City. In 1940 it was noted GUMPERZ introduced Mrs. LYDIA BUSCHOVA, who was described as being a Czechoslovakian musical comedy actress, then residing at 16 East 80th Street, New York City. It was explained that her stage name was LYDIA ST. CLAIR. On behalf of Mrs. ST. CLAIR, the concern contacted by Confidential Informant T-1, wrote the American Consular in Vienna in April, 1941 regarding the visas of HANS PAUL and LILLY WERTHEIMER, all of Prague, Czechoslovakia. It was stated that her account at this concern has been blocked and that she resided at 904 Park Avenue, New York City. It was further stated in 1938 that this concern wrote to the American Consular in Cologne, Germany, on behalf of subject GUMPERZ, regarding the visas for Mr. and Mrs. NOVISHOFF and also to the American Consular in Berlin regarding HILDA GUMPERZ, the subject's mother, and also a Miss LANDAU. It was stated that the subject had a moderate four figure balance in this bank. It was further noted that the subject studied at the University of Berlin and that he is employed by himself as a consultant economist and investment counsellor. It was also noted that he is registered as an investment advisor. Through intercepts obtained from Confidential Informant T-1, it was learned on May 12, 1942 the subject sent

NY 65-13398

a cablegram to one FRANZ FEUCHTWANGER, Apartado 10449, Mexico City:

"IMPOSSIBLE TO WAIT LONGER STOP IF VISA NOT GRANTED RETURN  
CHECK IMMEDIATELY STOP CABLE ANSWER".

The subject's address was given as the International Relief Association 2  
West 45rd Street, which organization was reported by T-1 to be Communistic.

Under dated of February 16, 1943 one JEANNETTE MEYER of London,  
England, cabled GUMPERZ "BEREAVED AT YOUR BEREAVEMENT WISH COULD BE WITH  
YOU LETTER ON WAY. LOVE FROM US ALL".

On January 2, 1942 the subject again attempted to cable FRANZ  
FEUCHTWANGER in Mexico City as follows:

"BOB REQUEST WRITING AIRMAIL TO IRA WHETHER AND UNDER WHAT  
CONDITIONS YOU WILLING TO WORK FOR IRA CASES STOP. PLEASE  
SEND LAST SEVENTY TO IRA STOP MAKE CLEAR TO IRA POSSIBILITIES  
FROM LATEST LIST AND FUTURE LIST".

It was noted because of the nature of this cablegram it was not transmitted.

Confidential Informant T-2 was contacted at which time the same  
information as has been reported above and which was furnished by T-1 was  
again furnished. Regarding these activities of subject in contacting persons  
in foreign countries, Confidential Informant T-2 stated that it was evident  
that subject's activities here were merely to assist Jewish refugees in entering  
the United States from Germany and other war torn countries.

With respect to the message mentioned above and furnished by Con-  
fidential Informant T-1, it is apparent that the message which was suppressed  
refers to IRA MAIER who is an Attorney in New York City and from whom subject  
GUMPERZ rents office space at 610 Fifth Avenue, New York City. It is also  
quite evident that IRA MAIER is assisting subject GUMPERZ in bringing refugees  
into the United States.

Confidential Informant T-5 was contacted by Special Agent [REDACTED] b7C  
[REDACTED] at which time he advised that from information contained in their  
files subject GUMPERZ resides at 60 Central Park West. He has been a tenant  
at 610 Fifth Avenue since 1937 and it was noted that the premises there were  
used for the purpose of administering foreign and domestic investments.  
This informant was unable to provide any information which would indicate that  
the subject was engaged in any type of espionage activity.

NY 65-13398

b7D  
[REDACTED] Local Board 31, 67 East 59th Street, New York City, made available the file regarding subject GUMPERZ. It was noted that subject's full name is JULIAN GUMPERZ. At the time of registration on February 15, 1942 he was residing at 180 West 58th Street, New York City; that he was born May 12, 1898 in New York, N.Y.; and that he had his business address at 610 Fifth Avenue; his previous address was stated to be 123 West 57th Street and 50 Central Park West. His questionnaire was returned on October 23, 1942 and on July 2, 1943 the subject was placed in 4A classification. Under education, it was noted that the subject studied economics at the University of Berlin and it was also said that he studied psychology and mathematics for six years. He advised that his annual income was about \$8,000 per year and that he was employed by himself as an economist and investment consultant. It was stated that his wife, CHARLOTTE, age 46, resided with him and that they have one child, [REDACTED]. The subject also stated that he supports his mother HILDA GUMPERZ, age 71, in the amount of \$2,000 per year; she resides at 83-28 Abington Road, Kew Gardens, Long Island. The subject states he has never been convicted of a crime. The subject's Occupational Questionnaire was returned on April 17, 1942 and it was stated that he is classified as an economist as well as a statistician. b7C

Mr. ANDREW REINHARDT, 180 West 58th Street, was contacted and advised that subject GUMPERZ moved out of that address about October 1, 1943 and that he moved to 13 Gramercy Park. It was stated that he was at the above address for one year when his wife CHARLOTTE died probably in the month of February and that since her death the subject had been associating with Mrs. ST. CLAIR, who Mr. REINHARDT described as being red-headed and an actress. He advised that the subject's daughter was not in fact his own daughter but a step-daughter and since the death of his wife, the daughter had not been living with subject GUMPERZ. Mr. REINHARDT stated that he had never noticed anything suspicious on the part of GUMPERZ and stated that he would "bet his life" on the fact that GUMPERZ was entirely loyal to the United States and would not be interested in a victory in this war for Germany.

On October 21, 1943 Mr. and Mrs. A. MIGLIURATI, operators of the apartment house at 13 Gramercy Park, advised that Mr. GUMPERZ moved into that building on September 21, 1943 and that since that time they have never heard anything which would indicate that he was engaged in any subversive activity. They advised that he does not receive any foreign mail and that he has never had any suspicious visitors. They further pointed out that he has two friends who visit him, Mr. and Mrs. ST. CLAIR. Mrs. MIGLIURATI advised that she is able to speak French and that when Mrs. St. Clair comes to visit GUMPERZ, they often speak in the French language. She stated that she has never